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(CLIENT MATTER NO.: SAMS04-08001)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Kelley Jones
Serial No. : 10/693,089
Filed : October 24, 2003
For : POLISH PAD TOOL
Group No. : 3726
Examiner : Not yet assigned

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

Sir:

The undersigned hereby certifies that the following documents:

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2. Statement Under 37 C.F.R. 3.73(b); and
3. A postcard receipt;

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 12, 2004.

Date: 5/12/04

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Date: May 12, 2004

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Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (02-01)
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Application Number	10/693,089
Filing Date	October 24, 2003
First Named Inventor	Kelley Jones
Title	POLISH PAD TOOL
Group Art Unit	3726
Examiner Name	Not yet assigned
Attorney Docket Number	IZ-200308-001

I hereby appoint:

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Name	Registration Number
William A. Munck	39,308

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75380

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United States of America

Telephone

972-628-3600

Fax

972-628-3616

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Teresa Burroff

Signature

Teresa Burroff

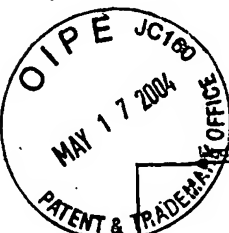
Date

April 13, 2004

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Kelley Jones

Application No./Patent No.: 10/693,089 Filed/Issue Date: October 24, 2003

Entitled: POLISH PAD TOOL

Samsung Austin Semiconductor, L.P., and Samsung Electronics Co., Ltd., a limited partnership and Korean corporation, respectively
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. Copy of Assignment as filed attached hereto.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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[] Additional documents in the chain of title are listed on a supplemental sheet.

[] Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

May 11, 2004

Date

512-672-1000

Telephone number

Teresa Burroff

Typed or printed name

Teresa Burroff

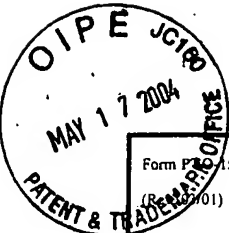
Signature

General Counsel, Samsung Austin Semiconductor

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(Rev. 10-01)

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U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kelley Jones

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 10/23/03

2. Name and address of receiving party(ies)

Name: Samsung Austin Semiconductor, L.P.

Internal Address: Teresa J. Burroff, Esq.

Street Address: 12100 Samsung Blvd.

City: Austin State: TX Zip: 78754

Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/23/03

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: TIMOTHY M. HONEYCUTT

Internal Address: _____

Street Address: P.O. Box 1577

City: Cypress State: TX Zip: 77410-1577

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

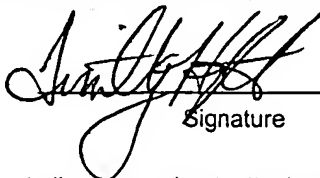
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy M. Honeycutt

Name of Person Signing



Signature

10/24/03

Date

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Director of the U.S. Patent and Trademark Office, P.O. Box 1450
Alexandria, VA 22313-1450

Continued from Item # 2 above

Name: Samsung Electronics Co., Ltd.

Internal Address: Linus Park

Street Address: #24 Nongseo-ri Kiheung-eup Yongin-city 449-900, Korea

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned does hereby:

SELL, ASSIGN AND TRANSFER to SAMSUNG AUSTIN SEMICONDUCTOR, L.P., a limited partnership of Texas having a place of business at 12100 Samsung Blvd., Austin, Texas 78754, and to SAMSUNG ELECTRONICS CO., LTD., corporation of Korea having a place of business at San #24 Nongseo-ri Kiheung-eup Yongin-city 449-900, Korea (the "Co-Assignees"), the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent filed with the United States Patent and Trademark Office, submitted concurrently herewith and entitled "**POLISH PAD TOOL**" such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Co-Assignees;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Co-Assignees, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Co-Assignees all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Co-Assignees shall consider desirable for vesting title to such improvements in the Co-Assignees, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Co-Assignees.

Signature: [Handwritten Signature]

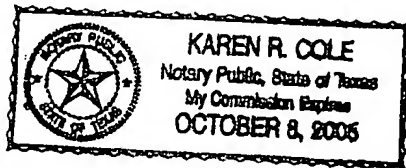
Date: 10-23-03

Name: Kelley Jones

STATE OF TEXAS §
 § ss.
COUNTY OF TRAVIS §

³ BEFORE ME, the undersigned authority, on this 23rd day of October, 2002, personally appeared Kelley Jones known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]



Karen R. Cole
Notary or Consular Officer